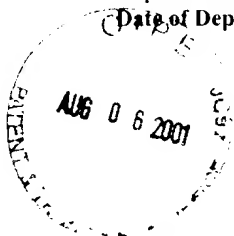


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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Johansen et al.  
SERIAL NUMBER: 09/804,615 EXAMINER: Not Yet Assigned  
FILING DATE: March 12, 2001 ART UNIT: 1646  
FOR: NOVEL NEUROTROPHIC FACTORS

**Box SEQUENCE LISTING**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

Prior to examination of the above-identified patent application, please amend the application as set forth below and consider the following remarks.

***In the Specification:***

Please insert the Sequence Listing, pages 1-29, at the end of the specification.

**REMARKS**

Applicants submit a Sequence Listing for the sequences disclosed in the specification, in compliance with the requirements for patent applications containing nucleotide sequences and/or amino acid sequence disclosures. 37 C.F.R. §§ 1.821-1.825.